

Lecture Plan
Department of Planning, School of Planning and Architecture, Vijayawada

Name of Course: Urban Finance (BPLN703)

Programme & Sem: **Bachelor of Planning (UG), Semester Seven**
 Course Duration: July 16 to Nov 14, 2018
 Course Coordinator: Prasanth Vardhan P, Mr., Assistant Prof., Dept. of Planning (prasanth@spav.ac.in)
 Number of Credits: 03
 Subject Category: Theory
 Total Periods/Week: 03 (minimum Attendance Requirement 75%)
 Internal Assessment (IA): 50 (minimum pass marks 40%)
 End Evaluation (EA): 50 (minimum pass marks 40%) – Written Exam and Aggregate marks 50% [IA+EE].
 Total Marks: 100 (to be converted to CGPA credit pattern as per regulations)

Subject Objective: To impart knowledge on basics of urban finance, structure and composition of municipal finance and alternative financing platforms for urban areas.

Week	Lecture / Session Topic (Teaching-Learning Objective aimed)	Session Mode	References / Suggested Readings
Week 1 (starting July 16)	Municipal Finance, Principles and composition of income and expenditure of urban local bodies; Taxation and user charges; Intergovernmental fiscal relations; Powers and resources of local governments	Lecture	1. Mohanty, P.K., Misra, B.M., Goyal, R., Jeromi. P.D., 'Municipal Finance in India; An Assessment'. Department of Economic Analysis and Policy, Chapter 1, 3, 4 & 5. Reserve Bank of India (RBI). 2007. (STUDY REPORT)
Week 2 (starting July 23)	Constitutional provisions; CFC and SFC, Index of decentralization; Limitations and need for revenue enhancement; Expenditure Control methods and mechanisms; Assistance from foreign donors and Multi National agencies	Lecture	2. Nallathiga, R., 'Analyzing the Finances of Urban Local Bodies in India'. Research Group, RBI. 2009. (STUDY REPORT)
Week 3 (starting July 30)	Alternative Sources of Financing, Types of Partnership approaches, Privatization of civic services, types of contracts and ownerships; user charges projects; Pricing of services; Market Access; Municipal Bonds	Lecture	3. Ministry of Urban Development, 'Pooled Finance Development Scheme', Toolkit 1 & 2. GoI. 2008. (TOOLKIT)
Week 4 (starting Aug 06)	Pooled finance; Land Value Capture; Land Extractions Resources based on achievement of urban reforms.	Lecture	4. Seetharam, K.K, Mathur, O.P., 'Costs and Challenges of Local Urban Services, Evidence from Indian's Cities'. Oxford. 2009. (BOOK)
Week 5 & 6 (Aug 13 - 21)	Internal Assessment 1 (Written Test) – 30% of Internal Assessment		
Week 7 (starting Aug 27)	Role of state government and urban local bodies; City's challenge fund Urban reforms, Implications on resources, incentive fund and state level pooled finance development fund.	Lecture	5. MOUD, 'JNNRUM Reforms (Optional & Mandatory)'. GoI. 2005. (jnnurm.nic.in) & Reference no.3
Week 8 (Sep 01-09)	Field Work for all semesters of UG and PG		
Week 9 (starting Sep 10)	Property tax reforms and monetization of public properties	Lecture	6. National Institute of Urban Affairs, 'Best Practices on Property Tax Reforms in India'. MOUD. GoI. 2010. (RESEARCH REPORT) 7. Municipal Asset Valuation Methodology Manual'. MOUD, GoI. 2009. (REPORT)
Week 10 (Sep 24-28)	Internal Assessment – II (Mid Semester Examination) – 40% of Internal Assessment		

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Week	Lecture / Session Topic (Teaching-Learning Objective aimed)	Session Mode	References / Suggested Readings
Week 11 (starting Oct 01)	Institutional capacity enhancement Better finance management, management process; Accounting and budgeting, asset management, receivables management	Lecture	8. Municipal Asset Valuation Methodology Manual'. MOUD, Gol. 2009. (REPORT) 9. Sachitanandan, A.N., 'Reading Material on Project Formulation and Appraisal'. IPTI. 2004. (READING MATERIAL)
Week 12 (starting Oct 08)	Computerisation as a tool for resource enhancement; Role of Management Information Systems	Lecture	
(Oct 15 -19)	Dusserha Break		
Week 14 (Oct 22 - 26)	Internal Assessment – III (Presentation/Submission) – 30% of Internal Assessment		
Week 15 (starting Nov 05)	Plan forms and indices Financial operating plan, city corporate plan; Development of urban indicators Financing mechanisms in addition to tax and grants	Lecture	10. Vidyadhar K.Phatak, "Land Based Fiscal Tools and Practices for Generating Additional Financial Resources", MOUD, 2004. World Bank & Gol.(REPORT)
Week 16 (starting Nov 12)	Private public partnerships like BOT, BOOT, BOLT etc.; Impact fee, subsidies and betterment fees.	Lecture	

Note:

- Any other closed holidays as declared by SPAV shall supercede the above lecture plan. Holidays shown above may alter as per Notice from time to time.
- Assessment Sessions may be re-scheduled, with prior intimation.
- Reading lists provided is not exhaustive and is subject to addition – students are advised to follow progression of class to keep abreast of the new reading lists, if any.